

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILED

In re Application of: SCOTT C. JOHNSON ET AL.

Filed: NOVEMBER 2, 2001

For: SYSTEMS AND METHODS FOR INTELLIGENT
INFORMATION RETRIEVAL AND DELIVERY IN AN
INFORMATION MANAGEMENT ENVIRONMENT

Serial No.: 10/003,728

Group Art Unit: 2152

Examiner: UNKNOWN

Atty Docket No.: SURG:161

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

3-8-02 Mady Bomer
Date Name

BOX MISSING PARTS

Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

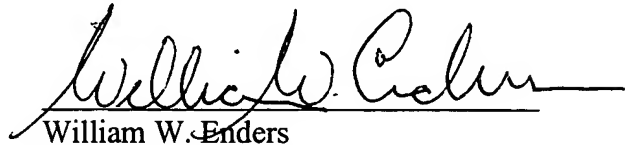
Transmitted herewith for filing are:

- ☐ New Patent Application consisting of ___ pages
- ☐ Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN _____ filed on _____. The prior Examiner was _____ in Group Art Unit _____.
- ☒ Notice to File Missing Parts - Part 2 copy
- ☐ Assignment and Recordation Cover sheet
- ☒ Inventor's Declaration
- ☐ Information Disclosure Statement
- ☒ Petition for a 1 month extension of time

- ☐ Response to Office Action
- ☐ Preliminary Amendment
- ☐ Formal Drawings (Figs , sheets)
- ☐ Informal Drawings
- ☐ Notice of Appeal
- ☐ An Appeal Brief (an original and two copies)
- ☒ Checks in the amount of \$55.00 and \$1,323.00
- ☒ The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/SURG:161, including any concurrent or future required extension of time fees.
- ☒ Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- ☒ Other: Verified Statement Claiming Small Entity Status and Election Under 37 CFR 3.71 and Statement Under 3.73 And Power of Attorney

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written over a horizontal line.

William W. Enders
Registration No. 41,735
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.
1101 Capital of Texas Highway South
Building C, Suite 200
Austin, Texas 78746
512-347-1611
512-347-1615 (Fax)

#4

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3-8-02
Date

Marty Bowen
Name

REQUEST FOR EXTENSION OF TIME TO RESPOND TO
NOTICE OF FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
DATED DECEMBER 20, 2001

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.136(a), Applicants petition for an extension of time for 1 month(s) to and including March 20, 2001, in which to respond to the Notice to File Missing Parts Of Nonprovisional Application dated December 20, 2001.

Pursuant to 37 C.F.R. §1.17, a check in the amount of \$55.00 is enclosed, which is the fee for a 1 month(s) extension of time.

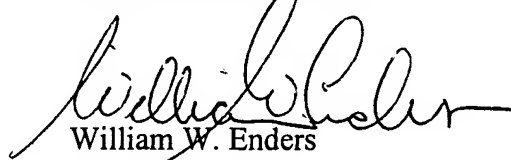
03/21/2002 TBESHAH1 00000011 10003728

05 FC:215

55.00 0P

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205/SURG:161.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written over the printed name.

William W. Enders

Reg. No. 41,735

Attorney for Applicants

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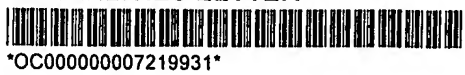
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,728	11/02/2001	Scott C. Johnson	SURG:161

CONFIRMATION NO. 2683

FORMALITIES LETTER



O'KEEFE, EGAN & PETERMAN, L.L.P.
Building C, Suite 200
1101 Capital of Texas Highway South
Austin, TX 78746

Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/21/2002 TBESHAH1 00000011 10003728

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	720.00 OP
03 FC:202	168.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1776.
 - \$1440 for 80 total claims over 20.
 - \$336 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2646.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE